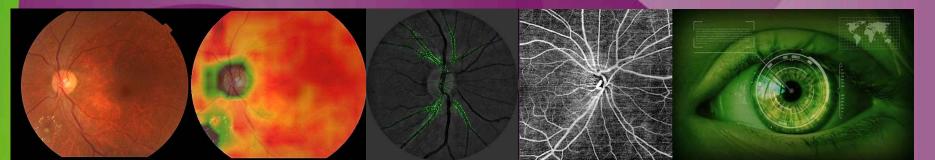
# **PRE – CONFERENCE AFTERNOON MASTER CLASSES**



# **Retinal Biomarkers**

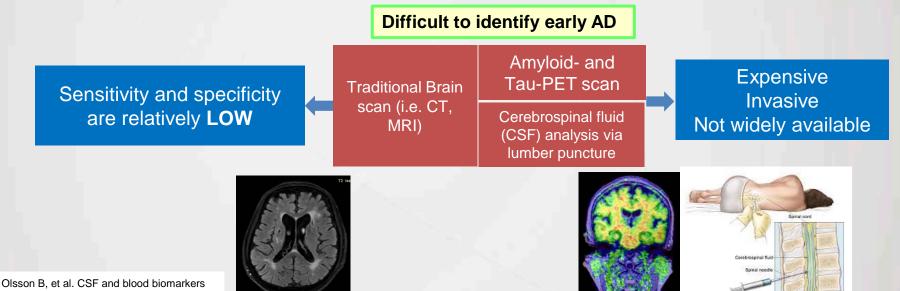


# Carol Y. Cheung, PhD

Associate Professor Department of Ophthalmology and Visual Science 香港中文大學醫學院 Faculty of Medicine The Chinese University of Hong Kong

# Alzheimer's Disease (AD) is associated with pathological changes in the brain that result from <u>neurodegeneration</u> and <u>vascular disease</u> processes

*Early diagnosis* and *early intervention* for AD are considered important mechanisms to delay progression of disease for managing the worldwide impact of dementia.



Olsson B, et al. CSF and blood biomarkers for the diagnosis of Alzheimer's disease: a systematic review and meta-analysis. Lancet Neurol 2016;15:673-84.

Biomarkers of amyloid-β & pathologic tau

# Retinal vasculature can be imaged, measured and monitored over time....

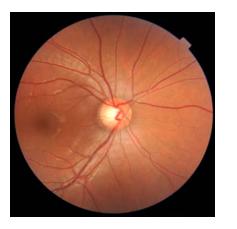
....provide early "signals" of microvascular pathways and end-organ damage (e.g. brain)

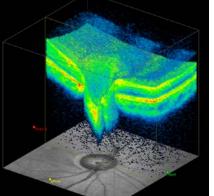
# **Ocular Imaging**

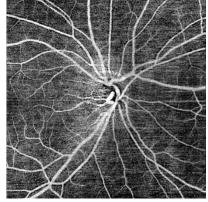


# Fundus photography

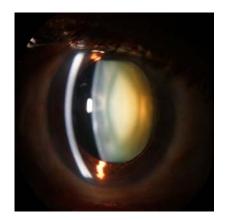
# **Optical Coherence Tomography (OCT)**







## Slit-lamp photography











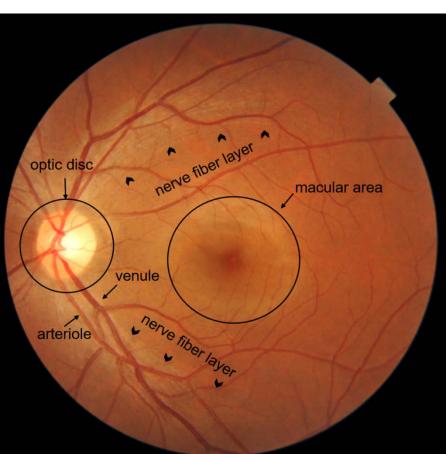


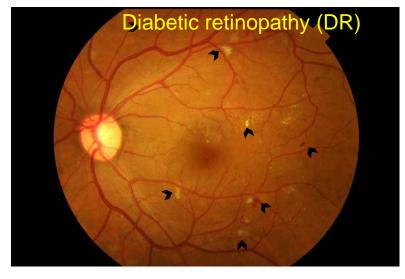
# **Retinal fundus photography**

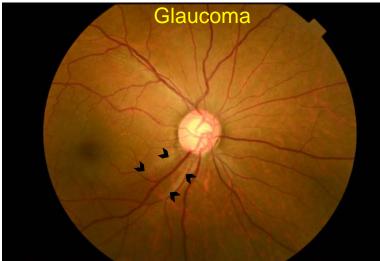






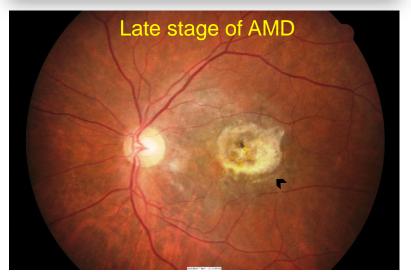




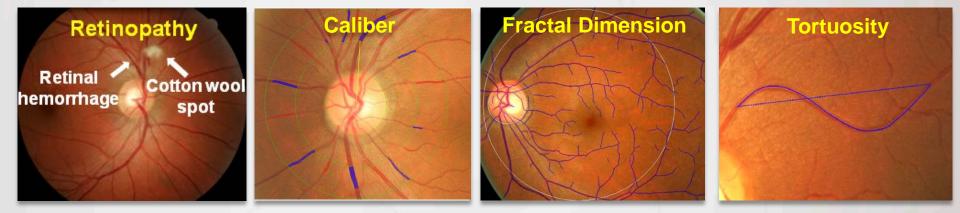


# Diabetic macular edema (DME)





# Changes in the retinal vasculature are source of potential biomarkers for AD



香港中文大學醫學院 Faculty of Medicine The Chinese University of Hong Kong

# Retinopathy and risk of dementia

#### The Rotterdam Study

#### Elisabeth M.C. Schrijvers, ABSTRACT

Objective: To investigate the relation between retinopathy and the risk of dementia.

**Methods:** We investigated the associations between retinopathy and dementia and its subtypes Alzheimer disease (AD) and vascular dementia both cross-sectionally and prospectively in the Rotterdam Study, a large population-based cohort study. Digitized retinal images were available for 195 participants with prevalent dementia and 6,078 participants without dementia at baseline (1990-1993). Participants were reexamined in 1993-1994, 1997-1999, and 2002-2004 and were continuously monitored for development of dementia until January 1, 2007. Retinopathy was graded on fundus photographs and was defined as the presence of one or more dot/blot hemorrhages, microaneurysms, cotton wool spots, or evidence of laser treatment for retinopathy.

**Results:** Retinopathy was associated with prevalent dementia (age and sex-adjusted odds ratio 2.04, 95% confidence interval [CI] 1.34-3.09). Results were similar for AD and vascular dementia. During a mean follow-up of 11.4 years, 735 participants developed incident dementia, of whom 583 had AD and 80 had vascular dementia. There was no association of retinopathy at baseline with the risk of incident dementia during follow-up (age- and sex-adjusted hazard ratio 1.15, 95% CI 0.88-1.48) or the risk of incident AD or vascular dementia.

| Table 3 ORs of retinopathy and <u>prevalent dementia</u> <sup>a</sup> |                     |                  |                  |                  |  |  |  |  |
|---|---------------------|------------------|------------------|------------------|--|--|--|--|
|   |                     | OR (95% CI)      |                  |                  |  |  |  |  |
|   | Cases/<br>total no. | Model 1          | Model 2          | Model 3          |  |  |  |  |
| All dementia  |                     |                  |                  |                  |  |  |  |  |
| No retinopathy  | 160/5,800           | 1.00 (Ref)       | 1.00 (Ref)       | 1.00 (Ref)       |  |  |  |  |
| Retinopathy   | 34/473              | 2.04 (1.34-3.09) | 1.90 (1.25-2.91) | 1.92 (1.24-2.98) |  |  |  |  |
| Alzheimer disease   |                     |                  |                  |                  |  |  |  |  |
| No retinopathy  | 124/5,764           | 1.00 (Ref)       | 1.00 (Ref)       | 1.00 (Ref)       |  |  |  |  |
| Retinopathy   | 25/463              | 1.80 (1.11-2.91) | 1.81 (1.12-2.94) | 1.89 (1.15-3.10) |  |  |  |  |
| Vascular dementia   |                     |                  |                  |                  |  |  |  |  |
| No retinopathy  | 22/5,662            | 1.00 (Ref)       | 1.00 (Ref)       | 1.00 (Ref)       |  |  |  |  |
| Retinopathy   | 7/445               | 3.01 (1.26-7.21) | 2.12 (0.80-5.62) | 2.00 (0.71-5.63) |  |  |  |  |
|   |                     |                  |                  |                  |  |  |  |  |

OD- of a star a star and a second star down and a

Table 4 HRs of retinopathy and risk of incident dementia during follow-up

3

Ref)

HR (95% CI)

# Retinopathy signs are associated with **prevalent dementia and prevalent Alzheimer's disease**

T-LI- O

Dementia is a major cause of morbidity and mortality in elderly people. Many factors contribute to the development of dementia, and although the exact causes are still unclear, cerebrovascular disease is thought to be an important risk factor.<sup>1</sup> To study the role of cerebral microvascular disease in the pathogenesis of dementia, there is much interest in retinal microvascular signs, because embryologic, anatomic, and physiologic characteristics of the retinal vasculature are similar to those of the cerebral circulation, and the retina is easy to visualize noninvasively.<sup>2,3</sup> We have previously shown an association of larger retinal venular caliber and smaller arteriolar caliber with the risk of developing vascular dementia.<sup>4</sup> Another interesting retinal microvascular sign that has been associated with cognition and dementia is retinopathy.<sup>5,-9</sup> In pro-

| RetInopathy       | 63/438    | 1.15 (0.88-1.48) | 1.13 (0.88-1.47) | 1.15 (0.89-1.50) |
|-------------------|-----------|------------------|------------------|------------------|
| Alzhelmer disease |           |                  |                  |                  |
| No retinopathy    | 534/5,640 | 1.00 (Ref)       | 1.00 (Ref)       | 1.00 (Ref)       |
| Retinopathy       | 49/438    | 1.12 (0.83-1.50) | 1.12 (0.83-1.50) | 1.15 (0.86-1.55) |
| Vascular dementia |           |                  |                  |                  |
| No retinopathy    | 74/5,640  | 1.00 (Ref)       | 1.00 (Ref)       | 1.00 (Ref)       |
| Retinonathy       | 6/438     | 0 97 (0 42-2 23) | 0 92 (0 40-2 12) | 0 90 (0 39-2 11) |

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Alzheimer's & Dementia 10 (2014) 135-142

### Alzheimer's جع Dementia

#### Featured Articles

#### Microvascular network alterations in the retina of patients with Alzheimer's disease

Carol Yim-lui Cheung<sup>a,b,c,\*</sup>, Yi Ting Ong<sup>a,b,d</sup>, M. Kamran Ikram<sup>a,b,c,e,f</sup>, Shin Yeu Ong<sup>a,c</sup>, Xiang Li<sup>a,b</sup>, Saima Hilal<sup>e</sup>, Joseree-Ann S. Catindig<sup>e</sup>, Narayanaswamy Venketasubramanian<sup>e</sup>, Philip Yap<sup>g</sup>, Dennis Seow<sup>h</sup>, Christopher P. Chen<sup>e,i</sup>, Tien Yin Wong<sup>a,b</sup>

> <sup>a</sup>Singapore Eye Research Institute, Singapore National Eye Centre, Singapore <sup>b</sup>Department of Ophthalmology, Yong Loo Lin School of Medicine, National University of Singapore, Singapore <sup>c</sup>Centre for Quantitative Medicine, Office of Clinical Sciences, Duke-NUS Graduate Medical School, Singapore <sup>d</sup>NUS Graduate School for Integrative Sciences and Engineering, National University of Singapore, Singapore <sup>e</sup>Memory Aging and Cognition Centre, National University Health System, Singapore <sup>f</sup>Department of Ophthalmology, Erasmus Medical Center, Rotterdam, The Netherlands <sup>8</sup>Department of Geriatric Medicine, Khoo Teck Puat Hospital, Singapore <sup>h</sup>Department of Geriatric Medicine, Singapore General Hospital, Singapore <sup>1</sup>Department of Pharmacology, National University of Singapore, Singapore

Abstract

Background: Although cerebral small-vessel disease has been implicated in the development of Alzheimer's disease (AD), the cerebral microcirculation is difficult to visualize directly in vivo. Because the retina provides a noninvasive window to assess the microcirculation, we determined whether quantitatively measured retinal microvascular parameters are associated with AD. Methods: We conducted a case-control study (case:control matching  $\approx$  1:2). Retinal photographs were analyzed using a computer program, and a spectrum of quantitative retinal microvascular pa-

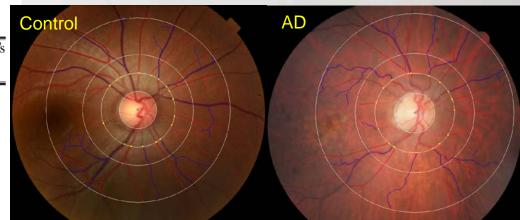
rameters (caliber, fractal dimension, tortuosity, and bifurcation) were measured. Logistic regression models were used to compute the odds ratio (OR) and 95% confidence interval for AD adjusting for age, gender, ethnicity, smoking, hypertension, diabetes, hypercholesterolemia, and history of myocardial infarction.

Results: We included 136 demented patients with AD and 290 age-gender-race-matched controls.

Persons with n [1.27-3.19]), dec [1.08-1.68], 1.47 per SD increase. AD. These assoc disease were included

# ... AD have narrower, sparser & more tortuous vessels...

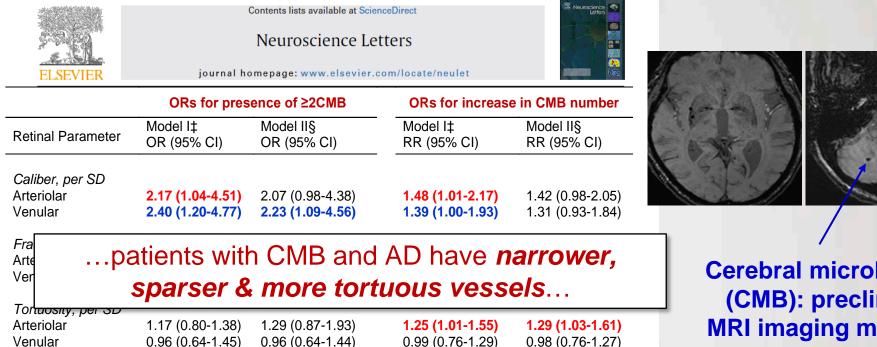
Conclusions: Patients with AD have altered microvascular network in the retina (narrower retinal venules and a sparser and more tortuous retinal vessels) compared with matched nondemented controls. These changes in retinal microvasculature may reflect similar pathophysiological processes in cerebral microvasculature in the brains of patients with AD. © 2014 The Alzheimer's Association. All rights reserved.



Associations between AD and retinal vascular parameters

|   | Mode | el 1      | Model 2    |           |  |
|---|------|-----------|------------|-----------|--|
| Retinal vascular parameter                            | OR   | 95% CI    | OR         | 95% CI    |  |
| Caliber   |      |           |            |           |  |
| Central retinal artery equivalent,<br>per SD decrease | 2.02 | 1.59 2.58 | 1.22*      | 0.78 1.91 |  |
| Central retinal vein equivalent,<br>per SD decrease   | 2.17 | 1.69 2.79 | $2.01^{+}$ | 1.27 3.19 |  |
| Fractals  |      |           |            |           |  |
| Total fractal dimension, per SD decrease              | 1.49 | 1.20 1.84 | 1.54       | 1.23 1.93 |  |
| Arteriolar fractal dimension,<br>per SD decrease      | 1.37 | 1.10 1.69 | 1.35       | 1.08 1.68 |  |
| Venular fractal dimension, per SD                     | 1.39 | 1.12 1.72 | 1.47       | 1.17 1.84 |  |

Venular tortuosity, per SD increase 1.87 1.46 2.41 1.94 1.48 2.53 Bifurcation Arteriolar branching angle, per SD 1.09 0.88 1.35 1.07 0.87 1.33 increase Venular branching angle, per SD 1.00 0.81 1.23 0.97 0.78 1.20 increase



#### ARTICLE INFO

Article history: Received 13 April 2014 Accepted 9 June 2014 Available online 14 June 2014

#### ABSTRACT

Novel retinal imaging techniques have enabled the assessment of quantitative vascular parameters, which provide information on the microvasculature before the appearance of retinopathy signs. Advances in neuroimaging have revealed that cerebral microbleeds (CMB) – besides lacunar infarcts and white matter

Cerebral microbleeds (CMB): preclinical MRI imaging markers of cognitive decline in AD

#### Clinical science

### Evaluation of optical coherence tomography angiographic findings in Alzheimer's type dementia

Mehmet Bulut,<sup>1</sup> Fatma Kurtuluş,<sup>2</sup> Onursal Gözkaya,<sup>3</sup> Muhammet Kazım Erol,<sup>1</sup> Avse Cengiz,<sup>1</sup> Melih Akıdan,<sup>1</sup> Avlin Yaman<sup>2</sup>

#### <sup>1</sup>Department of Ophthalmology. ABSTRACT

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Published Online First

Background/Aims To identify the retinal vascular pathologies in patients with Alzheimer's type dementia (ATD) through optical coherence tomography angiography (OCTA) imaging.

Methods Our study included 26 patients in the patient group, and age-matched and sex-matched 26 subjects

smoking, depression, cognitive or physical inactivity and obesity increase the risk of ATD.<sup>1</sup>

ATD is a serious health problem that occurs at advanced age. The most important problem with this disease is related to diagnosis. Today, it can be diagnosed at the early stages of cognitive impairment. The diagnosis can be established after the by testing the

Table 2 Relationship of vascular density, foveal avascular zone, outer and choroidal flow rate and choroidal thickness with ATD and control subjects Patients with ATD Control subjects (n-26 eves of 26 (n-26 eves of 26 Va

ent.<sup>2</sup> Once it is at will lead to mosis of ATD process starts. th is 4.6 years. established by

|                       | subjects)  | subjects)  | p Value | 1d biomarkers<br>the disease     |
|-----------------------|------------|------------|---------|----------------------------------|
| Vascular density (%)  |            |            |         | e disease will<br>icacy of drugs |
| Whole                 | 45.50±3.85 | 48.67±3.29 | 0.002*  | treatment will                   |
| Fovea                 | 29.04±7.17 | 34.80±6.76 | 0.004*  | ith the visual                   |
| Parafovea             | 47.96±4.86 | 51.12±4.10 | 0.015*  | s while it was                   |
| Foveal avascular zone | 0.47+0.18  | 0.33+0.08  | 0.001*  | . The studies<br>wever, demon-   |

Research

#### JAMA Ophthalmology | Original Investigation

### Association of Preclinical Alzheimer Disease With Optical Coherence Tomographic Angiography Findings

Bliss Elizabeth O'Bryhim, MD, PhD; Rajendra S. Apte, MD, PhD; Nathan Kung, MD; Dean Coble, PhD; Gregory P. Van Stavern, MD

IMPORTANCE Biomarker testing for asymptomatic, preclinical Alzheimer disease (AD) is invasive and expensive. Optical coherence tomographic angiography (OCTA) is a noninvasive Figure 2. Foveal Thickness and Foveal Avascular Zone (FAZ) Measurements



A PET findings B CSF findings P=.03 0 0.6 260 P<.001 0.5 220 P = 0AZ, 0.3 180 0.7 0.1 Positive Negative Positive Negative Negative Positive PET PET CSF Finding CSF Finding CSF Finding CSF Finding Finding Finding Outer Foyeal Thickness Total Foyeal Thickness C Inner foveal thickness D FAZ for all findings for all findings

# Patients with AD have reduced retinal vascular density & enlarged FAZ (capillary dropout in fovea)

creased blood

the cerebral

#### and now rate

\*Significant at p<0.05. ATD, Alzheimer's type dementia

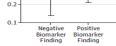
#### INTRODUCTION

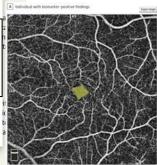
Alzheimer's type dementia (ATD) is a chronic, progressive neurodegenerative disease and results

chers focused on the use of investigating the intraocular vascular structures as a biomarker in ATD

In ATD and other neurodegenerative diseases, retinal imaging with optical coherence tomography angiography (OCTA) may give us additional







# **New Retinal Imaging Technologies & AD**

#### ARTICLE

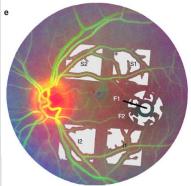
https://doi.org/10.1038/s41467-019-12242-1

COMMUNICATIONS

OPEN

Non-invasive in vivo hyperspectral imaging of t retina for potential biomarker use in Alzheimer' disease

#### Xavier Hadoux 💿 et al.#



ease (AD) and of human tissues suggest that the the accumulation of amyloid beta (A $\beta$ ), may serve s A $\beta$  has a wavelength-dependent effect on light vivo retinal hyperspectral imaging to serve as a rees in the retinal reflectance spectra are found brain PET imaging and mild cognitive impairment ontrols (n = 20). Retinal imaging scores are corare validated in an independent cohort, using a pectral difference is found between control and **one** 

Journal of Alzheimer's Disease 49 (2016) 79–83 DOI 10.3233/JAD-150457 IOS Press

# Retinal Oximetry Imaging in Alzheimer's Disease

Anna Bryndis Einarsdottir<sup>a,\*</sup>, Sveinn Hakon Hardarson<sup>b,d</sup>, Jona Valgerdur Kristjansdottir<sup>b,d</sup>, David Thor Bragason<sup>b</sup>, Jon Snaedal<sup>c</sup> and Einar Stefánsson<sup>b,d</sup> <sup>a</sup>Department of Neurology, Landspitali - National University Hospital, Reykjavik, Iceland <sup>b</sup>Department of Ophthalmology, Landspitali - National University Hospital, Reykjavik, Iceland <sup>c</sup>Department of Geriatrics, Landspitali - National University Hospital, Reykjavik, Iceland <sup>d</sup>University of Iceland, Reykjavik, Iceland

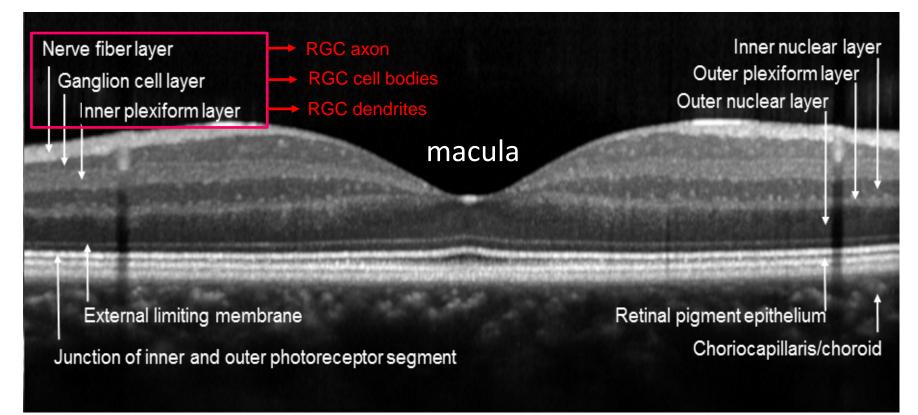
#### Accepted 27 July 2015

#### Abstract.

Background: Structural and physiological abnormalities he oximetry detects changes in retinal oxygen metabolism in n Objective: To compare oxygen saturation in retinal blood v Methods: Oxygen saturation of hemoglobin was measure noninvasive retinal oximeter. 18 individuals with mild to me Global Deterioration Scale) and 18 healthy subjects underw **Results:** Retinal oxygen saturation in arterioles and venules to healthy individuals. Retinal arterioles have  $94.2 \pm 5.4\%$ healthy subjects (mean  $\pm$  SD, n = 10, p = 0.028). Retinal ve  $49.7 \pm 7.0\%$  in healthy subjects (mean  $\pm$  SD, n = 10, p = 0.0**Conclusion:** This is the first study of retinal oxygen metaboli in retinal oxygen metabolism in AD. The findings are simi retinopathy. Noninvasive retinal oximetry may offer new in confirm and expand these findings.

Keywords: Alzheimer's disease, blood vessels, diagnosis, hemoglobin, oxygen, retina, retinal oximetry, vessel diameter

# **Optical Coherence Tomography (OCT)**









# Meta-analysis: <u>RNFL thinning in AD</u>

|  |                  | Subjects   |          | _                        | ontrols |       |        | Std. Mean Difference | Std. Mean Difference |
|--|------------------|------------|----------|--------------------------|---------|-------|--------|----------------------|----------------------|
| Study or Subgroup  | Mean             | SD         | Total    | Mean                     | SD      | Total | Weight | IV, Random, 95% CI   | IV, Random, 95% Cl   |
| Bambo et al. 2015  | 89.4             | 10.4       | 56       | 100.9                    | 11.7    | 56    | 4.7%   | -1.03 [-1.43, -0.64] | _ <b>-</b>           |
| Cheung et al. 2015   | 86.83            | 13.812     | 92       | 90.37                    | 18.9648 | 123   | 4.9%   | -0.21 [-0.48, 0.06]  |                      |
| Choi et al. 2016   | 88.9547          | 9.5264     | 30       | 93.47                    | 9.91    | 66    | 4.6%   | -0.46 [-0.89, -0.02] |                      |
| Cunha et al. 2016  | 93.75            | 13.42      | 45       | 102.96                   | 9.19    | 48    | 4.6%   | -0.80 [-1.22, -0.38] |                      |
| Cunha et al. 2017  | 85.72            | 14.42      | 50       | 96.51                    | 9.36    | 152   | 4.8%   | -0.99 [-1.33, -0.66] | <u> </u>             |
| Eraslan et al. 2015  | 98.3             | 10.3       | 20       | 110.7                    | 19.1    | 20    | 4.1%   | -0.79 [-1.44, -0.15] |                      |
| Ferrari et al. 2017  | 93.0705          | 10.2132    | 37       | 97.49                    | 8.52    | 49    | 4.6%   | -0.47 [-0.90, -0.04] |                      |
| Gao et al. 2015  | 85.99            | 9.5        | 25       | 98.6                     | 7.6529  | 21    | 4.0%   | -1.42 [-2.08, -0.77] |                      |
| Garcia-Martin 2016   | 95.67            | 15.22      | 150      | 99.23                    | 16.48   | 75    | 4.9%   | -0.23 [-0.50, 0.05]  |                      |
| Gharbiya et al. 2014   | 96.8             | 6.9        | 42       | 95.9                     | 8.5     | 42    | 4.6%   | 0.12 [-0.31, 0.54]   |                      |
| Golzan et al. 2017   | 99               | 17         | 28       | 93                       | 18      | 50    | 4.5%   | 0.34 [-0.13, 0.80]   | +                    |
| Gunes et al. 2014  | 84               | 7          | 40       | 107.1                    | 6.3     | 40    | 3.9%   | -3.44 [-4.14, -2.74] | •                    |
| Kirbas et al. 2013   | 65               | 6.2        | 40       | 75                       | 3.8     | 40    | 4.4%   | -1.93 [-2.46, -1.39] |                      |
| Kromer et al. 2014   | 105              | 17.0133    | 22       | 101.8                    | 10.7    | 22    | 4.2%   | 0.22 [-0.37, 0.81]   | _ <del></del>        |
| Kwon et al. 2017   | 42.75            | 4.53       | 30       | 44.83                    | 4.24    | 30    | 4.4%   | -0.47 [-0.98, 0.05]  |                      |
| Larrosa et al. 2014  | 97.55            | 14.12      | 151      | 100.55                   | 12.99   | 61    | 4.9%   | -0.22 [-0.51, 0.08]  |                      |
| Moreno-Ramos et al. 2013   | 94.5             | 2.2        | 10       | 108                      | 2.2     | 10    | 1.3%   | -5.88 [-8.09, -3.66] | •                    |
| Oktem et al. 2015  | 80.6             | 9.6        | 35       | 91.5                     | 7.1     | 35    | 4.4%   | -1.28 [-1.79, -0.76] |                      |
| Pillai et al. 2016   | 88.9             | 9.6234     | 21       | 85.3                     | 9.3295  | 34    | 4.3%   | 0.38 [-0.17, 0.92]   | +                    |
| Polo et al. 2014   | 97.4             | 11.2       | 75       | 99.21                    | 9.9     | 75    | 4.8%   | -0.17 [-0.49, 0.15]  | -++                  |
| Polo et al. 2017   | 91               | 11.23      | 24       | 97.61                    | 7.13    | 24    | 4.2%   | -0.69 [-1.28, -0.11] |                      |
| Salobrar-Garcia et al. 2015  | 87.5083          | 20.2557    | 23       | 89.0833                  | 20.8664 | 28    | 4.3%   | -0.08 [-0.63, 0.48]  |                      |
| Trebbastoni et al. 2016  | 96.7             | 6.3        | 36       | 97.6                     | 8.3     | 36    | 4.5%   | -0.12 [-0.58, 0.34]  |                      |
| Total (95% CI)   |                  |            | 1082     |                          |         | 1137  | 100.0% | -0.67 [-0.95, -0.38] | ◆                    |
| Heterogeneity: Tau <sup>2</sup> = 0.42; C  | ;<br>hi² = 208.6 | 2, df = 22 | (P < 0.0 | 00001); I <sup>2</sup> = | : 89%   |       |        |                      |                      |
| Test for overall effect: Z = 4.56 (P < 0.00001) -2 -1 0 1 2 Favours [ADs] Favours [Controls] |                  |            |          |                          |         |       |        |                      |                      |

(Chan V et al. Ophthalmology 2018)

# Meta-analysis: GC-IPL thinning in AD



#### A. Mean Macular GC-IPL Thickness

| AD Subjects Controls              |                          |            |         |                                  |              |       |        | Std. Mean Difference | Std. Mean Difference |
|-----------------------------------|--------------------------|------------|---------|----------------------------------|--------------|-------|--------|----------------------|----------------------|
| Study or Subgroup                 | Mean                     | SD         | Total   | Mean                             | SD           | Total | Weight | IV, Random, 95% CI   | IV, Random, 95% CI   |
| Cheung et al. 2015                | 72.23                    | 10.8454    | 99      | 77.79                            | 14.5286      | 123   | 24.7%  | -0.43 [-0.69, -0.16] |                      |
| Choi et al. 2016                  | 72.4957                  | 9.1763     | 30      | 79.11                            | 6.49         | 66    | 19.5%  | -0.88 [-1.33, -0.43] |                      |
| Cunha et al. 2016                 | 63.24                    | 7.6        | 45      | 69                               | 6.09         | 48    | 20.2%  | -0.83 [-1.26, -0.41] |                      |
| Liu et al. 2016                   | 78.41                    | 5.85       | 27      | 80.19                            | 5.89         | 47    | 18.7%  | -0.30 [-0.78, 0.18]  |                      |
| Pillai et al. 2016                | 75.7                     | 7.7904     | 21      | 73.5                             | 8.1633       | 34    | 16.8%  | 0.27 [-0.28, 0.82]   |                      |
| Total (95% CI)                    |                          |            | 222     |                                  |              | 318   | 100.0% | -0.46 [-0.80, -0.11] | •                    |
| Heterogeneity: Tau <sup>2</sup> = | = 0.11; Chi <sup>2</sup> | = 13.69, d | f= 4 (P | = 0.008                          | 3); l² = 71% | 6     |        |                      |                      |
| Test for overall effect:          | Z = 2.58 (F              | ° = 0.010) |         | Favours [ADs] Favours [Controls] |              |       |        |                      |                      |

#### **B. Mean Macular GCC Thickness**

| AD  | Subjects                                  |  | Co  | ntrols  |  | 1   | Std. Mean Difference   | Std. Mean Difference   |
|---|---|--|---|---|--|---|--|--|
| Mean  | SD  | Total  | Mean  | SD  | Total  | Weight  | IV, Random, 95% CI   | IV, Random, 95% CI   |
| 91.83   | 6.23                                      | 31   | 97.49   | 6.09  | 30   | 25.5%   | -0.91 [-1.44, -0.38]   |  |
| 95.66   | 11.69                                     | 45   | 103.85  | 7.73  | 48   | 39.6%   | -0.82 [-1.25, -0.40]   |  |
| 89.8  | 10.3                                      | 20   | 97.6  | 5.5   | 20   | 16.6%   | -0.93 [-1.58, -0.27]   |  |
| 96.0111   | 14.9089                                   | 21   | 107.4444  | 17.549  | 21   | 18.3%   | -0.69 [-1.31, -0.06]   |  |
|   |   | 117  |   |   | 119  | 100.0%  | -0.84 [-1.10, -0.57]   | ◆  |
| Heterogeneity: Tau <sup>2</sup> = 0.00; Chi <sup>2</sup> = 0.36, df = 3 (P = 0.95); I <sup>2</sup> = 0% |   |  |   |   |  |   |  |  |
| Test for overall effect: Z = 6.15 (P < 0.00001)   |   |  |   |   |  |   |  | Favours [ADs] Favours [Controls]   |
|   | Mean<br>91.83<br>95.66<br>89.8<br>96.0111 | 91.83 6.23<br>95.66 11.69<br>89.8 10.3<br>96.0111 14.9089<br>: 0.00; Chi <sup>2</sup> = 0.36, df | Mean         SD         Total           91.83         6.23         31           95.66         11.69         45           89.8         10.3         20           96.0111         14.9089         21           117           0.00; Chi <sup>2</sup> = 0.36, df = 3 (P = | Mean         SD         Total         Mean           91.83         6.23         31         97.49           95.66         11.69         45         103.85           89.8         10.3         20         97.6           96.0111         14.9089         21         107.4444           117           :         0.00; Chi² = 0.36, df = 3 (P = 0.95); l² = | Mean         SD         Total         Mean         SD           91.83         6.23         31         97.49         6.09           95.66         11.69         45         103.85         7.73           89.8         10.3         20         97.6         5.5           96.0111         14.9089         21         107.4444         17.549           117           \$0.00; Chi <sup>2</sup> = 0.36, df = 3 (P = 0.95); l <sup>2</sup> = 0% | Mean         SD         Total         Mean         SD         Total           91.83         6.23         31         97.49         6.09         30           95.66         11.69         45         103.85         7.73         48           89.8         10.3         20         97.6         5.5         20           96.0111         14.9089         21         107.4444         17.549         21           117         119           :0.00; Chi <sup>2</sup> = 0.36, df = 3 (P = 0.95); I <sup>2</sup> = 0%         119         119 | Mean         SD         Total         Mean         SD         Total         Weight           91.83         6.23         31         97.49         6.09         30         25.5%           95.66         11.69         45         103.85         7.73         48         39.6%           89.8         10.3         20         97.6         5.5         20         16.6%           96.0111         14.9089         21         107.4444         17.549         21         18.3%           117         119         100.0%           c.0.00; Chi <sup>2</sup> = 0.36, df = 3 (P = 0.95); I <sup>2</sup> = 0% | MeanSDTotalMeanSDTotalWeightIV, Random, 95% CI91.83 $6.23$ 31 $97.49$ $6.09$ 30 $25.5\%$ $-0.91$ [-1.44, $-0.38$ ]95.6611.6945103.85 $7.73$ 48 $39.6\%$ $-0.82$ [-1.25, $-0.40$ ]89.810.320 $97.6$ $5.5$ 20 $16.6\%$ $-0.93$ [-1.58, $-0.27$ ]96.011114.908921107.4444 $17.549$ 21 $18.3\%$ $-0.69$ [-1.31, $-0.06$ ]117119100.0%-0.84 [-1.10, -0.57] $0.00$ ; Chi <sup>2</sup> = 0.36, df = 3 (P = 0.95); I <sup>2</sup> = 0% |

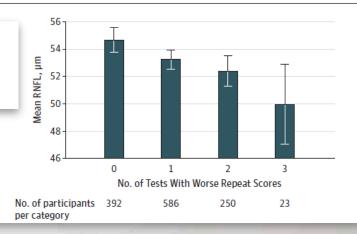
The Chinese University of Hong Kong

### (Chan V et al. Ophthalmology 2018)

Table 3. Multivariable Logistic Regression Modeling of the Association Between Retinal Nerve Fiber Layer (RNFL) Thickness and Risk of Worsening on 1 or More Follow-up Cognitive Function Tests (Compared With O Tests)<sup>a</sup>

| Characteristic Odds Ratio (95% CI) P V |                  |                  |       |  |  |  |
|--|------------------|------------------|-------|--|--|--|
| R                                      | NFL quintile, µm |                  |       |  |  |  |
|  | ≤45.9            | 1.92 (1.29-2.85) | <.001 |  |  |  |
| l                                      | 45.9-50.4        | 2.08 (1.40-3.08) | <.001 |  |  |  |
|  | 50.4-54.6        | 1.48 (1.01-2.18) | .05   |  |  |  |
|  | 54.6-60.2        | 1.51 (1.05-2.19) | .03   |  |  |  |
|  | ≥60.2            | 1 [Reference]    | NA    |  |  |  |
| R                                      | RNFL, μm         |                  |       |  |  |  |
|  | Per quintile     | 1.18 (1.08-1.29) | .001  |  |  |  |

Figure 2. Proportion of UK Biobank Participants Exhibiting a Decline in Cognitive Function on Repeat Assessment



Research

#### JAMA Neurology | Original Investigation

### Association of Retinal Nerve Fiber Layer Thinning With Current and Future Cognitive Decline A Study Using Optical Coherence Tomography

Fang Ko, MD: Zaynah A. Muthy, BSc; John Gallacher, PhD; Cathle Sudlow, DPhII: Geraint Rees, PhD; Qi Yang, PhD; Pearse A, Keane, MD; Axel Petzold, PhD; Peng T. Khaw, PhD; Charles Reisman, MSc; Nicholas G. Strouthidis, PhD; Paul J. Foster, PhD; Praveen J. Patel, FRCOphth; for the UK Biobank Eye & Vision Consortium

**IMPORTANCE** Identifing potential screening tests for future cognitive decline is a priority for developing treatments for and the prevention of dementia.

**OBJECTIVE** To examine the potential of retinal nerve fiber layer (RNFL) thickness measurement in identifying those at greater risk of cognitive decline in a large community cohort of healthy people.

DESIGN, SETTING, AND PARTICIPANTS UK Biobank is a prospective, multicenter, community-based study of UK residents aged 40 to 69 years at enrollment who underwent baseline retinal optical coherence tomography imaging, a physical examination, and a questionnaire. The pilot study phase was conducted from March 2006 to June 2006, and the main cohort underwent examination for baseline measures from April 2007 to October

# RNFL thinning is associated with future **cognitive decline**

Inth percentile in at least 2 of 4 cognitive tests at baseline, or worsening results on at least 1 cognitive test at follow-up. These analyses were adjusted for age, sex, race/ethnicity, height, refraction, intraocular pressure, education, and socioeconomic status.

**RESULTS** A total of 32 038 people were included at baseline testing, for whom the mean age was 56.0 years and of whom 1712 (53.6%) were women. A thinner RNFL was associated with worse cognitive performance on baseline assessment. A multivariable regression controlling for potential confounders showed that those in the thinnest quintile of RNFL were 11% more likely to fail at least 1 cognitive test (95% CI, 2.0%-2.1%; *P* = .01). Follow-up cognitive tests were performed for 1251 participants (3.9%). Participants with an RNFL thickness in the 2 thinnest quintiles were almost twice as likely to have at least 1 test score be worse at follow-up cognitive testing (quintile 1: OR, 1.92; 95% CI, 1.29-2.85; *P* < .001; quintile

+ Author Audio Interview

Supplemental content

JAMA Neurology | Original Investigation

### Association of Retinal Neurodegeneration on Optical Coherence Tomography With Dementia A Population-Based Study

Unal Mutlu, MD, PhD: Johanna M. Colin, MD: M. Arfan Ikram, MD, PhD: Pieter W. M. Bonnemajier, MD: Silvan Licher, MD; Frank J. Wolters, MD; Henning Tiemeler, MD, PhD; Peter J. Koudstaal, MD, PhD; Caroline C. W. Klaver, MD, PhD: M. Kamran Ikram, MD, PhD

IMPORTANCE Retinal structures may serve as a biomarker for dementia, but longitudinal studies examining this link are lacking.

**OBJECTIVE** To investigate the association of inner retinal layer thickness with prevalent and incident dementia in a general population of Dutch adults.

DESIGN, SETTING, AND PARTICIPANTS From September 2007 to June 2012, participants from the prospective population-based Rotterdam Study who were 45 years and older and had gradable retinal optical coherence tomography images and at baseline were free from stroke, Parkinson disease, multiple sclerosis, glaucoma, macular degeneration, retinopathy, myopia, hyperopia, and optic disc pathology were included. They were followed up until January 1, 2015, for the onset of dementia.

EXPOSURES Inner retinal layer thicknesses (ie, retinal nerve fiber layer [RNFL]) and ganglion cell-inner plexiform layer (GC-IPL) thicknesses measured on optical coherence tomography images.

MAIN OUTCOMES AND MEASURES Odds ratios and hazard ratios for incident dementia per SD decrease in retinal layer thickness adjusted for age, sex, education, and cardiovascular risk factors.

RESULTS Of 5065 individuals eligible for optical coherence tomography scanning, 3289 (64.9%) (mean [SD] age 68.9 [9.9] years, 1879 [57%) women) were included in the analysis. Of these 3289 individuals, 41 (1.2%) already had dementia. Thinner GC-IPL was associated with prevalent dementia (odds ratio per SD decrease in GC-IPL, 1.37 [95% CI, 0.99-1.90]). No association was found of RNFL with prevalent dementia. During 14 674 person-years of follow-up (mean [SD], 4.5 [1.6] years), 86 individuals (2.6%) developed dementia of whom 68 (2.1%) had Alzheimer disease. Thinner RNFL at baseline was associated with an increased risk of developing dementia (hazard ratio per SD decrease in RNFL, 1.44 [95% CI, 1.19-1.75]).

which was similar for Alzhein was found between GC-IPL t 0.90-1.43]).

CONCLUSIONS AND RELEVAN dementia, including Alzheim as a preclinical biomarker for Table 3. Association of Inner Retinal Layer Thickness With Incident Dementia

|  | Model 1                            |         | Model 2                            |                |
|--|------------------------------------|---------|------------------------------------|----------------|
| Variable   | Hazard Ratio (95% CI) <sup>a</sup> | P Value | Hazard Ratio (95% CI) <sup>b</sup> | <b>P</b> Value |
| All dementia                                     |                                    |         |                                    |                |
| Retinal nerve fiber layer <sup>c</sup>           |                                    |         |                                    |                |
| Per SD decrease                                  | 1.51 (1.25-1.82)                   | <.001   | 1.44 (1.19-1.75)                   | <.001          |
| Per 1-µm decrease                                | 1.03 (1.01-1.04)                   | <.001   | 1.02 (1.01-1.04)                   | <.001          |
| Ganglion cell-inner plexiform layer <sup>d</sup> |                                    |         |                                    |                |
| Per SD decrease                                  | 1.13 (0.89-1.42)                   | .31     | 1.13 (0.90-1.43)                   | .29            |
| Per 1-µm decrease                                | 1.02 (0.99-1.05)                   | .33     | 1.02 (0.99-1.05)                   | .29            |
| Alzheimer disease                                |                                    |         |                                    |                |
| Retinal nerve fiber layer <sup>e</sup>           |                                    |         |                                    |                |
| Per SD decrease                                  | 1.49 (1.20-1.84)                   | <.001   | 1.43 (1.15-1.78)                   | .001           |
| Per 1-µm decrease                                | 1.03 (1.01-1.04)                   | <.001   | 1.02 (1.01-1.04)                   | .001           |
| Ganglion cel-inner plexiform layer <sup>f</sup>  |                                    |         |                                    |                |
| Per SD decrease                                  | 1.15 (0.90-1.48)                   | .28     | 1.16 (0.90-1.48)                   | .25            |
| Por 1 um docrosco                                | 1 02 (0 00 1 05)                   | .28     | 1.02 (0.99-1.05)                   | .25            |
| •  |                                    |         |                                    |                |

# RNFL thinning is associated with development of **dementia**

# So is retinal Imaging a viable biomarker of AD?



Alzheimer's & Dementia 10 (2014) 135-142

Featured Articles

#### Microvascular network alterations in the retina of patients with Alzheimer's disease

Carol Yim-lui Cheung<sup>a,b,c,\*</sup>, Yi Ting Ong<sup>a,b,d</sup>, M. Kamran Ikram<sup>a,b,c,e,f</sup>, Shin Yeu Ong<sup>a,c</sup>, Xiang Lia,b, Saima Hilale, Joseree-Ann S. Catindige, Narayanaswamy Venketasubramaniane, Philip Yap<sup>g</sup>, Dennis Seow<sup>h</sup>, Christopher P. Chen<sup>e,i</sup>, Tien Yin Wong<sup>a,b</sup>

> "Singapore Eye Research Institute, Singapore National Eye Centre, Singapore <sup>b</sup>Department of Ophthalmology, Yong Loo Lin School of Medicine, National University of Singapore, Singapore Centre for Quantitative Medicine, Office of Clinical Sciences, Duke-NUS Graduate Medical School, Singapore <sup>d</sup>NUS Graduate School for Integrative Sciences and Engineering. National University of Singapore, Singapore "Memory Aging and Cognition Centre, National University Health System, Singapore

#### Progress in Retinal and Eye Research 57 (2017) 89-107



#### Imaging retina to study dementia and stroke

Carol Yim-lui Cheung 4, \*, 1, 2, M. Kamran Ikram G.d. 1, 2, Christopher Chen 6, C.2, Tien Yin Wong b.c.2

#### \* Department of Ophthalmology and Visual Sciences, The Chinese University of Hong Kong, Hong Kong

Singapore Eye Research Inscitute, Singapore National Eye Centre, Singapore Duke-NUS Graduate Medical School, National University tments of Neurokery & Enidemiology, Ergemus Un Memory Aging and Cognition Centre, National University tment of Pharmacology, National University of Sing

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| DOI 10.3233/JAD-141659                      |
| IOS Press                                   |

Alzheimer's

69

Dementia

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### **Retinal Ganglion Cell Analysis Using High-Definition Optical Coherence** Tomography in Patients with Mild Cognitive Impairment and Alzheimer's Disease

Carol Yim-lui Cheung<sup>a,b,c,1,\*</sup>, Yi Ting Ong<sup>a,b,d,1</sup>, Saima Hilal<sup>b,g,h</sup>, M. Kamran Ikram<sup>a,b,c,e</sup>, Sally Low<sup>a</sup>, Yi Lin Onga, N. Venketasubramaniane, Philip Yapf, Dennis Seowg, Christopher Li Hsian Chene.h.2 and Tien Yin Wonga,b,c,2

<sup>a</sup>Singapore Eve Research Institute, Singapore National Eve Centre, Singapore <sup>b</sup>Department of Ophthalmology, Yong Loo Lin School of Medicine, National University of Singapore, Singapore <sup>c</sup>Office of Clinical Sciences, Duke-NUS Graduate Medical School, Singapore <sup>d</sup>NUS Graduate School for Integrative Sciences and Engineering, National University of Singapore, Singapore Memory Aging and Cognition Centre, National University Health System, Singapore Department of Geriatric Medicine, Khoo Teck Puat Hospital, Singapore Denastment of Carintele Medicine Simemore Concerd Hamital Simemore

#### **Retinal Vascular Fractal Dimension Is Associat** with Cognitive Dysfunction

Carol Yim-lui Cheung, PhD,\*+‡ ShinYeu Ong, MD,\*‡ M. Kamran Ikram, Yi Ting Ong, BSc, + Christopher P. Chen, FRCP, N. Venketasubramanian, MBBS, MMa, FAMS, FRCP,# and Tien Yin Wong,

Journal of Alzheimer's Disease 42 (2014) \$339-\$352 DOI 10.3233/JAD-141596

Review

45

### Retinal Microvasculature in Alzheimer's Disease

Carol Yim-lui Cheunga.b.c.e.\*, Yi-Ting Onga.d, M. Kamran Ikrama.b.c.e, Christopher Chend.e.f and Tien Yin Wonga,b,c

\*Singapore Eye Research Institute, Singapore National Eye Centre, Singapore

<sup>b</sup>Department of Ophthalmology, Yong Loo Lin School of Medicine, National University of Singapore, Singapore <sup>c</sup>Duke-NUS Graduate Medical School, Singapore

<sup>d</sup>NUS Graduate School for Integrative Sciences and Engineering, National University of Singapore, Singapore <sup>e</sup>Memory Aging and Cognition Centre, National University Health System, Singapore

<sup>1</sup>Department of Pharmacology, National University of Singapore, Singapore

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Neurodegene

\$330

#### REVIEW

#### Retinal pathology as biomarker for cognitive impairment and Alzheimer's disease

Mohammad Kamran Ikram, 12,3,4,5 Carol Y Cheung, 1,2 Tien Y Wong, 1,2 Christopher P L H Chen<sup>4,6</sup>

Department of Ophthalmology, ABSTRACT Yong Loo Lin School of Alzheimer's disease (AD) is the most common cause of

the past few decades in understanding to clinical benefits i by the diagnosti esent criteria to ently only symp for clinically d that improved me tion are essential to duals who have a AD, so that inter the progression o Over the major advances i

Although substantial progress has been m

#### of-the-art neuroimaging and biochemical ar many features with the brain, including embryological the cerebrospinal fluid (CSF). Although symptoms of dementia become manifest la course of the disease, it has been shown

brain. Retinal components such as the microwasculature and retinal vanelion cell axons can r visualized non-invasively using different retinal imaging techniques e.g., ocular fundus photography and optical coherence tomography. Advances in retinal imaging may provide new and potentially important insights into cerebrovascular neurodegenerative processes in addition to what is currently possible with neuro-imaging. In this review, we present an overview of the current literature on the application of

a locally validated Abbreviated Mental Test (AMT) score ≤6/10 in participants with 0-6 years of formal education and an AMT score ≤8/10 in those with more than 6 years of formal education. Cognitive dysfunction was identified in 262 of the 1202 participants (21.8%) Degraded ratinal vaccular D, use configurative accord

AD has a unique "Retinal Fingerprint"

that can be detected by retinal imaging

Sincapore Sindapore Correspondence to Dr Mohammad Kamran Ikram, National University Health

origin, anatomical (such as microvascular bed) and physiological characteristics (such as blood-tissue barrier), it has been suggested that the retina may

# **Biomarker**

Faculty of Me

The Chinese University of Hong Kong

- A biomarker is defined as an *objective* substance, characteristic, or other parameter of *a biological process* that enables the assessment of a disease risk or prognosis and provides guidance for diagnosis or monitoring of treatment.
- Biomarkers may aid in risk profiling to identify those at greatest risk, detection of pathology at the earliest possible stage, and by providing end points for trials that identify benefit earlier in the natural history of the disease, thus accelerating the development of new treatments.
- For example, measures of amyloid-β and tau pathology using PET or CSF are biomarkers of AD

Yassine, H. N. Targeting prodromal Alzheimer's disease: too late for prevention? Lancet Neurol 16, 946-947, (2017). Livingston, G. et al. Dementia prevention, intervention, and care. Lancet 390, 2673-2734, (2017).

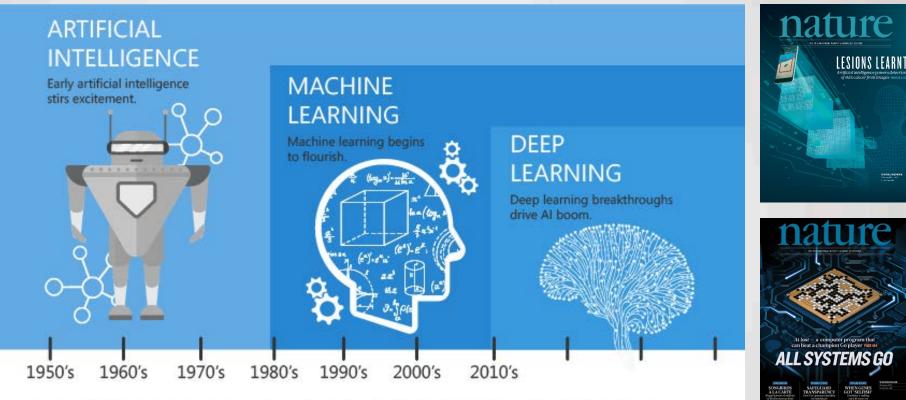
# So is retinal Imaging a viable biomarker of AD?

- Current retinal measures not specific to AD.
  - Associated with aging, vascular, neurological and systemic diseases
- Strength of the associations relatively modest.
  - Missing some "retinal features", not fully represented by currently defined parameters (e.g., retinal vessel caliber, RNFL, GC-IPL).
- Currently no good ways to incorporate retinal features related to AD, and identify who is at "high-risk"

Retinal imaging has not yet to meet the requirements to be considered a biomarker

香港中文大學醫學院 Faculty of Medicine The Chinese University of Hong Kong

# Artificial Intelligence → Machine Learning → Deep Learning



Since an early flush of optimism in the 1950's, smaller subsets of artificial intelligence - first machine learning, then deep learning, a subset of machine learning - have created ever larger disruptions. Pic Credit: Linked IN | Machine Learning vs Deep Learning The Chinese University of Hong Kong

Research

#### JAMA Ophthalmology | Original Investigation

### Automated Grading of Age-Related Macular Degeneration From Color Fundus Images Using Deep Convolutional Neural Networks

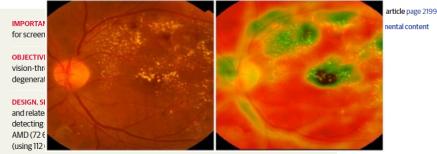
Philippe M. Burlina, PhD; Neil Joshi, BS; Michael Pekala, MS; Katia D. Pacheco, MD; David E. Freund, PhD; Neil M. Bressler, MD

Research

#### JAMA | Original Investigation

### Development and Validation of a Deep Learning System for Diabetic Retinopathy and Related Eye Diseases Using Retinal Images From Multiethnic Populations With Diabetes

Daniel Shu Wei Ting, MD, PhD; Carol Yim-Lui Cheung, PhD; Gilbert Lim, PhD; Gavin Siew Wei Tan, FRCSEd; Nguyen D. Quang, BEng; Alfred Gan, MSc; Haslina Hamzah, BSc; Renata Garcia-Franco, MD; Ian Yew San Yeo, FRCSEd; Shu Yen Lee, FRCSEd; Edmund Yick Mun Wong, FRCSEd; Charumathi Sabanayagam, MD, PhD; Mail Baskaran, MD, PhD; Farah Ibrahim, MB, BCh, BAO; Ngiap Chuan Tan, MCI, FAMS; Eric A. Finkelstein, MHA, PhD; Eosse L. Lamoureux, PhD; Ian Y. Wong, FRCOph; Neil M. Bressler, MD; Sobha Sivaprasad, FRCOph; Rohit Yarma, MD, MPH; Jost B. Jonas, MD, PhD; Ming Guang He, MD, PhD; Ching-Yu Cheng, MD, PhD; Gemmy Chui Ming Cheung, FRCOph; Tin Aung, MD, PhD; Wyme Hsu, PhD; Mong Li Lee, PhD; Tien Yin Wong, MD, PhD



the DLC was assumed at a May 2010, and well detine of the DLC was assumed at a May 2017 fea



### Efficacy of a Deep Learning System for Detecting Glaucomatous Optic Neuropathy Based on Color Fundus Photographs

Zhixi Li, MD,<sup>1,\*</sup> Yifan He, BS,<sup>2,\*</sup> Stuart Keel, PhD,<sup>3,\*</sup> Wei Meng, BS,<sup>2</sup> Robert T. Chang, PhD,<sup>4</sup> Mingguang He, MD, PhD<sup>1,3</sup>

 Purpose:
 To assess the performance of a deep learning alç a neuropathy (GON) based on color fundus photographs.

 Design:
 A deep learning system for the classification of Gi GON on color fundus photographs.

 Participants:
 We retrospectively included 48 116 fundus p a deep learning algorithm.

 Methods:
 This study recruited 21 trained ophthalmologists: defined as vertical cup-to-disc ratio of 0.7 or more and other tvr

 Finding Glaucoma in Color Fundus Photographs Using Deep Learning
 Invited Commentary

### Finding Glaucoma in Color Fundus Photographs Using Deep Learning

Karine D. Bojikian, MD, PhD; Cecilia S. Lee, MD, MS; Aaron Y. Lee, MD, MSCI

Advances in artificial intelligence (AI) and applications of deep learning in ophthalmic imaging analyses have created remarkable successes and enthusiasm.<sup>1-3</sup> In this issue of *JAMA Ophthalmology*, Liu and colleagues<sup>4</sup> report a deep learning sys-

#### + Related article

tem (DLS) for detecting glaucomatous optic neuropathy (GON) and its generalizabil-

ity in various data sets of color fundus photographs. In addition to the local validation set, the authors assessed the model's performance in external validation data sets that varied in geographic location, population ethnicities, and camera systems. The area under the receiver operating characteristic curve for the local validation set was 0.996, whereas the areas unties, and specificities, the authors suggested that DLS could be applied in current GON screening programs. However, refer able glaucoma was noted to be imbalanced across the train ing data set and all external validation data sets. The use of are under the receiver operating characteristic curve is prevalen in ophthalmic binary classification results, but the area un der the precision-recall curve is noted to be a more accurate measure of performance.<sup>5</sup> The inclusion of a balanced test se accuracy or including the area under the precision-recal curve in the reported metrics would greatly strengthen the un derstanding of the performance of automated diagnostic algorithms.

Retter understanding of the strengths and limitations of

# biomedical engineering

#### ARTICLES https://doi.org/10.1038/s41551-018-0195-0

# Prediction of cardiovascular risk factors from retinal fundus photographs via deep learning

Ryan Poplin<sup>1,4</sup>, Avinash V. Varadarajan<sup>1,4</sup>, Katy Blumer<sup>1</sup>, Yun Liu<sup>1</sup>, Mich Greg S. Corrado<sup>1</sup>, Lily Peng<sup>1,4\*</sup> and Dale R. Webster<sup>1,4</sup>

### Prediction of systemic biomarkers from retinal photographs: development and validation of deep-learning algorithms



oa

Tyler Hyungtaek Rim<sup>\*</sup>, Geuryoung Lee<sup>\*</sup>, Youngnam Kim<sup>\*</sup>, Yih-Chung Tham<sup>\*</sup>, Chan Joo Lee, Su Jung Baik, Young Ah Kim, Marco Yu, Mihir Deshmukh, Byoung Kwon Lee, Sungha Park, Hyeon Chang Kim, Charumathi Sabayanagam, Daniel SW Ting, Ya Xing Wang, Jost B Jonas, Sung Soo Kim†, Tien Yin Wong†, Ching-Yu Cheng†



Lancet Digital Health 2020; 2: e526-36 \*Co-first authors t Contributed equally

# SCIENTIFIC REPORTS

### OPEN Detection of smoking status from retinal images; a Convolutional Neural Network study Smoking

ceived: 3 January 2019 cepted: 29 April 2019 blished online: 09 May 2019 Ehsan Vaghefi<sup>® 1,2</sup>, Song Yang<sup>1</sup>, Sophie Hill<sup>3</sup>, Gayl Humphrey<sup>4</sup>, Nata David Squirrell<sup>3</sup>

Cardiovascular diseases are directly linked to smoking habits, which has both physiological and anatomical effects on the systemic and retinal circulations, and these changes can be detected with fundus photographs. Here, we aimed to 1- design a Convolutional Neural Network (CNN), using retinal photographs, to differentiate between smokers and non-smokers; and 2- use the attention maps to between diversion of the physical changes that cargin is produced. biomedical engineering

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### Deep-learning models for the detection and incidence prediction of chronic kidney disease and type 2 diabetes from retinal fundus images

Kang Zhang D<sup>1,2,23</sup> S, Xiaohong Liu<sup>3,23</sup>, Jie Xu<sup>4,5,23</sup>, Jin Yuan<sup>4,23</sup>, Wenjia Cai<sup>4,23</sup>, Ting Chen S<sup>3</sup> S, Kai Wang<sup>5</sup>, Yuanxu Gao <sup>3</sup>, Sheng Nie<sup>7</sup>, Xiaodong Xu<sup>5</sup>, Xiaoqi Qin<sup>5</sup>, Yuandong Su<sup>1</sup>, Wenqin Xu<sup>1</sup>, Andrea Olvera<sup>1</sup>, Kammin Xue<sup>8</sup>, Zhihuan Li<sup>1</sup>, Meixia Zhang<sup>1</sup>, Xiaoxi Zeng<sup>1,9</sup>, Charlotte L. Zhang<sup>10</sup>, Oulan Li<sup>10</sup>, Edward E. Zhang<sup>10</sup>, Jie Zhu<sup>11</sup>, Yimg Xu<sup>3</sup>, Daniel J Shaoyun Li<sup>13</sup>, Iat Fan Lai<sup>14</sup>, Ying Chi<sup>15</sup>, Changuang Wang<sup>16</sup>, M Johnson Lau<sup>18</sup>, Dennis Lam<sup>18,19</sup>, Xiaoguang Zou<sup>20</sup>, Aizezi Wu

Fan Fan Hou<sup>7</sup>, Ping Zhang⁵, Tao Xu<sup>10</sup>⊠, Yong Zhou<sup>1022</sup>⊠ and Guangyu Wang®⁵⊠

# OPEN Effects of Hypertension, Diabetes, and Smoking on Age and Sex Prediction from Retinal Fundus

### Images

Yong Dae Kim<sup>1,2,7</sup>, Kyoung Jin Noh<sup>1,7</sup>, Seong Jun Byun<sup>1,7</sup>, Soochahn Lee<sup>3</sup>, Tackeun Kim<sup>5</sup>, Leonard Sunwoo<sup>6</sup>, Kyong Joon Lee<sup>5</sup>, Si-Hyuck Kang<sup>6</sup>, Kyu Hyung Park<sup>1</sup>& Sang Jun Park<sup>61\*</sup>

Retinal fundus images are used to detect organ damage from vasc and hypertension) and screen ocular diseases. We aimed to assess (CNN) models that predict age and sex from retinal fundus images participants with underlying systemic vascular-altered status. In a



clues regarding differences between normal ageing and vascular pathologic changes using the CNN

Association of Cardiovascular Mortality and Deep Learning-Funduscopic Atherosclerosis Score derived from Retinal Fundus Images

JOOYOUNG CHANG, AHRYOUNG KO, SANG MIN PARK, SEULGGIE CHOI. KYUWOONG KIM. SUNG MIN KIM, JAE MOON YUN, UK KANG, IL HYUNG SHIN, JOO YOU BAEK-LOK OH, AND KI HO PARK CVD mortality



#### Articles

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### A deep learning model for detection of Alzheimer's disease based on retinal photographs: a retrospective, multicentre case-control study

Carol Y Cheung<sup>\*</sup>, An Ran Ran<sup>\*</sup>, Shujun Wang<sup>\*</sup>, Victor TT Chan, Kaiser Sham, Saima Hilal, Narayanaswamy Venketasubramanian, Ching-Yu Cheng, Charumathi Sabanayagam, Yih Chung Tham, Leopald Schmetterer, Gareth J McKay, Michael A Williams, Adrian Wong, LisaW C Au, Zhihui Lu, Jason C Yam, Gement C Tham, John J Chen, Oana M Dumitrascu, Pheng-Ann Heng, Timothy C Y Kwok, Vincent CT Mok†, Dan Mileat, Christopher Li-Hsian Chen†, Tien Yin Wong†

#### Summary

 Background There is no simple model to screen for Alzheimer's disease, partly because the diagnosis of Alzheimer's
 Lancet Digit Health 2022

 disease itself is complex—typically involving expensive and sometimes invasive tests not commonly available outside online
 Published online

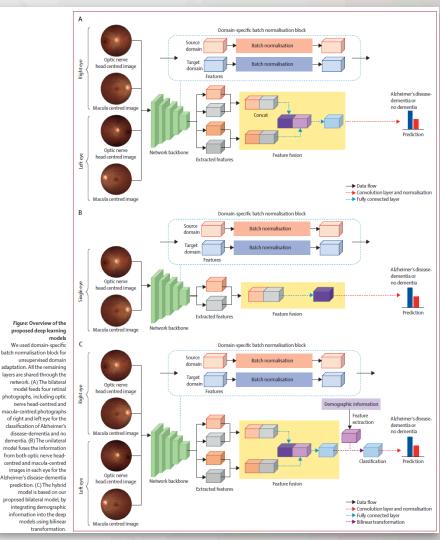
 highly specialised clinical settings. We aimed to develop a deep learning algorithm that could use retriand photographical sciences of the provided on a state of the provided on the

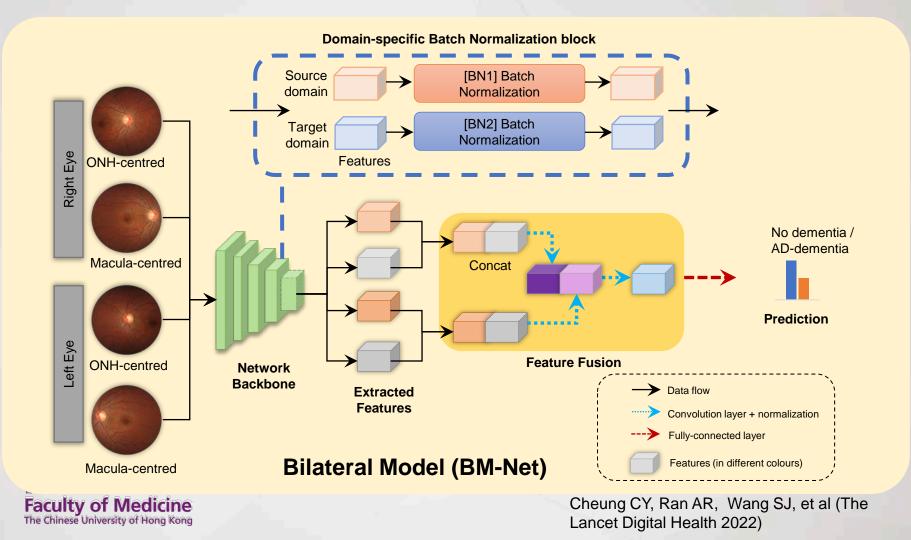
Methods In this retrospective, multicentre case-control study, we trained, validated, and tested a deep learning algorithm to detect Alzheimer's disease-dementia from retinal photographs using retrospectively collected data from 11 studies that recruited patients with Alzheimer's disease-dementia and people without disease from different countries. Our main aim was to develop a bilateral model to detect Alzheimer's disease-dementia from retinal photographs alone. We designed and internally validated the bilateral deep learning model using retral photographs alone. We designed and internally validated the bilateral deep learning model using retral photographs and visual Sciences (CV-Oheng Pho, both eyes) for each individual were used to develop supervised deep learning models and equip the network with unsupervised domain adaptation technique, to address dataset discrepancy between the different studies. We tested the trained model using five other studies, three of which used PET as a biomarker of significant amyloid  $\beta$  burder Cham FCOphthik, Crotam FCOphthik, Cr

Findings 12949 retinal photographs from 648 patients with Alzheimer's disease and 3240 people without the disease were used to train, validate, and test the deep learning model. In the internal validation dataset, the deep learning model had 83 •6% (SD 2-5) accuracy, 93 •2% (SD 2-2) sensitivity, 82 •0% (SD 3 •1) specificity, and an area under the receiver operating characteristic curve (AUROC) of 0 •93 (0 •01) for detecting Alzheimer's disease-dementia. In the testing datasets, the bilateral deep learning model had accuracies ranging from 70 •6% (SD 15 ·5) to 92 •1% (11 •4) and AUROCs ranging from 0 •73 (SD 0 •24) to 0 •91 (0 •10). In the datasets with data on PET, the model was able to differentiate between participants who were amyloid β positive and those who were amyloid β negative: accuracies ranged from 80 •6 (SD 13 •4%) to 89 •3 (13 •7%) and AUROC ranged from 0 •68 (SD 0 •24) to 0 •68 (0 •16). In subgroup analyses, the discriminative performance of the model was improved in patients with eye disease (accuracy 89 •6% [SD 12 •5%]) versus those without the disease (71 •7% [11 •6%]) and patients with diabetes (81 •9% [SD 20 •3%]) versus

Interpretation A retinal photograph-based deep learning algorithm can detect Alzheimer's disease with good accuracy, showing its potential for screening Alzheimer's disease in a community setting.

\$2589-7500(22)00190-X \*Lead authors †Senior authors Department of Ophthalmology and Visual Sciences (CY Cheung PhD. A R Ran PhD MMed, V T T Chan MBChB, K Sham BSc, J C Yam FCOphthHK, Department of Computer Science and Engineering (S Wang PhD, P-A Heng PhD). Gerald Choa Neuroscience Institute, Therese Pei Fong Chow Research Centre for Prevention of Dementia, Lui Che Woo Institute of Innovative Medicine, Division of Neurology, Department of Medicine and Therapeutics (A Wong PhD, L W CAu FHKCP, ProfV CT Mok MD) Jockey Club Centre for Osteoporosis Care and Control (Z Lu PhD. ProfTCY Kwok MD), and Department of Medicine and Therapeutics, Faculty of Medicine (Z Lu. Prof T C Y Kwok). the Chinese University of Hong lana Hana Kana Co





# The performance of the deep-learning based model in the internal validation and the testing datasets

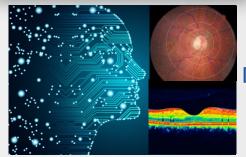
|                                     | Accuracy, %       | Sensitivity, %            | Specificity, %        | AUROC                |
|-------------------------------------|-------------------|---------------------------|-----------------------|----------------------|
| AD-dementia vs. no dementia         | <u>l</u>          |                           |                       |                      |
| Internal validation                 | 83·6% ± 2·5%      | 93·2% ± 2·2%              | 82·0% ± 3·1%          | 0·93 ± 0·01          |
| Testing-1                           | 79.6% ± 15.5%     | 72.0% ± 19.8%             | 100·0% ± 0·0%         | 0.77 ± 0.21          |
| Testing-2                           | 89·3% ± 13·7%     | 91·7% ± 16·7%             | 90·0% ± 20·0%         | $0.73 \pm 0.24$      |
| Testing-3                           | 85·0% ± 9·1%      | 93·3% ± 14·9%             | 93·3% ± 14·9%         | 0·74 ± 0·16          |
| Testing-4                           | 92·1% ± 11·4%     | 95·0% ± 11·2%             | 93·3% ± 14·9%         | $0.88 \pm 0.16$      |
| Testing-5                           | 91·7% ± 8·4%      | 100·0% ± 0·0%             | 90·9% ± 9·1%          | 0·91 ± 0·10          |
| <u> Aβ-positive vs. Aβ-negative</u> |                   |                           |                       |                      |
| Testing-1                           | 80.6% ± 13.4%     | 75·4% ± 22·5%             | 92·0% ± 11·5%         | $0.68 \pm 0.24$      |
| Testing-2                           | 89·3% ± 13·7%     | 90·0% ± 20·0%             | 93·8% ± 12·5%         | $0.86 \pm 0.16$      |
| Testing-3                           | 85·4% ± 10·5%     | 86·7% ± 16·3%             | 100·0% ± 0·0%         | $0.80 \pm 0.14$      |
| Aβ-positive (clinically diagno      | sed AD-dementia c | ases only) vs. Aβ-negativ | e (no cognitive impai | rment controls only) |
| Testing-1                           | 85·6% ± 10·9%     | 82·5% ± 23·6%             | 100·0% ± 0·0%         | 0·77 ± 0·21          |
| Testing-2                           | 90·8% ± 10·7%     | 91·7% ± 16·7%             | 93·8% ± 12·5%         | 0.85 ± 0.17          |
| Testing-3                           | 85·4% ± 17·2%     | 79·2% ± 25·0%             | 100·0% ± 0·0%         | $0.73 \pm 0.21$      |

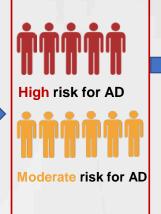
# Retinal imaging with deep learning → stratify AD



- 1. Screening tool in community.
- 2. Opportunistic screening tool in eye clinics.
- 3. Assessment for subjects with memory or cognitive symptom in general or specialized clinics.

# Retinal imaging analysis using Al





Low risk for AD

Confirmatory
investigations (e.g. PET
imaging, cerebrospinal
fluid) at specialized clinics.
 Implementation of
potential preventive
therapies (e.g. lifestyle
modifications).
 Recruitment into clinical
trials.

Cheung CY, et al. J Neurol Neurosurg Psychiatry 2021;0:1–12.

The Chinese University of Hong Kong

## biomedical engineering

ARTICL https://doi.org/10.1038/s41551-020-006

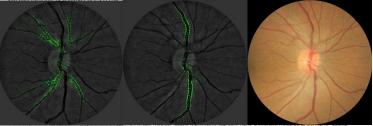
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### A deep-learning system for the assessment of cardiovascular disease risk via the measurement of retinal-vessel calibre

Carol Y. Cheung<sup>12,15</sup>, Dejiang Xu<sup>3,15</sup>, Ching-Yu Cheng<sup>014</sup>, Charumathi Sabanayagam<sup>014</sup>, Yih-Chung Tham<sup>014</sup>, Marco Yu<sup>1</sup>, Tyler Hyungtaek Rim<sup>1,4</sup>, Chew Yian Chai<sup>5,6</sup>, Bamini Gopinath<sup>7</sup>, Paul Mitchell<sup>7</sup>, Richie Poulton<sup>0,8</sup>, Terrie E. Moffitt<sup>9</sup>, Avshalom Caspi<sup>9</sup>, Jason C. Yam<sup>2</sup>, Clement C. Tham<sup>02</sup>, Jost B. Jonas<sup>0,10</sup>, Ya Xing Wang<sup>01</sup>, Su Jeong Song<sup>12</sup>, Louise M. Burrell<sup>013</sup>, Omar Farouque<sup>013</sup>, Ling Jun Li<sup>14</sup>, Gavin Tan<sup>1,4</sup>, Daniel S. W. Ting<sup>1,4</sup>, Wynne Hsu<sup>0,3,6</sup>, Mong Li Lee<sup>0,3,16</sup> and Tien Y. Wong<sup>0,14,16</sup>

Retinal blood vessels provide information on the risk of cardiovascular disease (CVD). Here, we report the development and validation of deep-learning models for the automated measurement of retinal-vessel calibre in retinal photographs, using diverse multiethnic multicountry datasets that comprise more than 70,000 images. Retinal-vessel calibre measured by the models and by expert human graders showed high agreement, with overall intraclass correlation coefficients of between 0.82 and 0.95. The models performed comparably to or better than expert graders in associations between measurements of retinal-vessel calibre and CVD risk factors, including blood pressure, body-mass index, total cholesteroi and givcated-haemoglobin levels. In retrospectively measured prospective datasets from a population-based study, baseline measurements performed by the deep-learning system were associated with incident CVD. Our findings motivate the development of clinically applicable explainable end-to-end deep-learning systems for the prediction of CVD on the basis of the features of retinal vessels in retinal photographs.

For more than a century, physicians have performed a fundus risk factors (such as blood pressure and diabete)<sup>32,23</sup> and the pressure and produced and the pressure of the performance of the pressure of the performance of the pressure of t



Ophthalmology & Visual Science Key Lab, Beijing Institute of Ophthalmology, Beijing Tongren Eye Center, Beijing Tongren Hospital, Capital Medical University, Beijing, China. "Department of Ophthalmology, Kangbuk Samasung Hospital, Sungkyunkwan University School of Medicine, Seoul, Republic of Korea. "Department of Cardiology, Austin Health, Austin Hospital, and Department of Medicine, University of Melbourne, Heideberg, Victoria, Australia. "Division of Obstetrics and Gynaecology, KK Women's and Children's Hospital, Singapore, Singapore. "These authors contributed equality: Carol' Cheurg, Dejang Xu. "These authors jointhy supervised this work: Wyme Hes, Mong Li Lee, Ten Y. Wong, E-email: Wong Ling, Marging, Samasung, Samasung,

# Retinal vessel caliber, measured using SIVA-DLS, was associated with incident CVD events

|                   |                    |                                  | Mod              | lel 1   | Mode             | 12     |
|-------------------|--------------------|----------------------------------|------------------|---------|------------------|--------|
|                   | Number at risk (n) | Incident CVD event cases (n (%)) | HR (95% CI)      | Р       | HR (95% CI)      | Р      |
| CRAE <sub>B</sub> |                    |                                  |                  |         |                  |        |
| First quartile    | 2,221              | 246 (11.1)                       | 1.27 (1.02-1.59) | 0.035   | 1.23 (0.98-1.55) | 0.079  |
| Second quartile   | 2,244              | 189 (8.4)                        | 0.94 (0.76-1.15) | 0.534   | 0.93 (0.75-1.15) | 0.491  |
| Third quartile    | 2,256              | 202 (9.0)                        | 1.03 (0.85-1.25) | 0.792   | 1.02 (0.83-1.25) | 0.854  |
| Fourth quartile   | 2,259              | 214 (9.5)                        | Reference        |         | Reference        |        |
| Per s.d. decrease | 8,980              | 851 (9.5)                        | 1.18 (1.08-1.30) | <0.001ª | 1.12 (1.02-1.24) | 0.024ª |
| CRAE <sub>c</sub> |                    |                                  |                  |         |                  |        |
| First quartile    | 2,210              | 247 (11.2)                       | 1.18 (0.94-1.47) | 0.156   | 1.12 (0.89-1.42) | 0.337  |
| Second quartile   | 2,258              | 185 (8.2)                        | 0.89 (0.73-1.10) | 0.280   | 0.91 (0.74-1.13) | 0.383  |
| Third quartile    | 2,270              | 197 (8.7)                        | 0.92 (0.75-1.11) | 0.380   | 0.90 (0.74-1.10) | 0.311  |
| Fourth quartile   | 2,242              | 222 (9.9)                        | Reference        |         | Reference        |        |
| Per s.d. decrease | 8,980              | 851 (9.5)                        | 1.24 (1.13-1.37) | <0.001ª | 1.13 (1.02-1.26) | 0.017ª |
| CRVE <sub>B</sub> |                    |                                  |                  |         |                  |        |
| First quartile    | 2,190              | 212 (9.7)                        | Reference        |         | Reference        |        |
| Second quartile   | 2,258              | 186 (8.2)                        | 1.19 (0.97-1.47) | 0.101   | 1.14 (0.91-1.41) | 0.253  |
| Third quartile    | 2,266              | 193 (8.5)                        | 1.31 (1.05-1.64) | 0.018   | 1.17 (0.92-1.47) | 0.198  |
| Fourth quartile   | 2,266              | 260 (11.5)                       | 1.74 (1.37-2.21) | <0.001  | 1.30 (1.01-1.67) | 0.039  |
| Per s.d. increase | 8,980              | 851 (9.5)                        | 1.14 (1.04-1.25) | 0.006ª  | 1.08 (0.98-1.18) | 0.130ª |
| CRVE <sub>c</sub> |                    |                                  |                  |         |                  |        |
| First quartile    | 2,209              | 206 (9.3)                        | Reference        |         | Reference        |        |
| Second quartile   | 2,252              | 166 (7.4)                        | 1.12 (0.90-1.40) | 0.305   | 1.07 (0.85-1.34) | 0.578  |
| Third quartile    | 2,262              | 214 (9.5)                        | 1.37 (1.09-1.71) | 0.006   | 1.24 (0.98-1.57) | 0.068  |
| Fourth quartile   | 2,257              | 265 (11.7)                       | 1.66 (1.31-2.12) | <0.001  | 1.23 (0.96-1.59) | 0.108  |
| Per s.d. increase | 8,980              | 851 (9.5)                        | 1.21 (1.09-1.33) | <0.001ª | 1.09 (0.99-1.21) | 0.091ª |

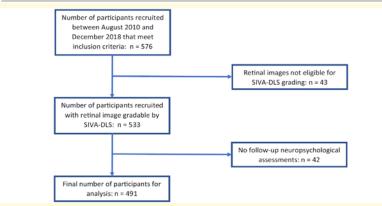
# **BRAIN COMMUNICATIONS**

https://doi.org/10.1093/braincomms/fcac212

### Deep-learning retinal vessel calibre measurements and risk of cognitive decline and dementia

<sup>(a)</sup>Carol Y. Cheung,<sup>3,\*</sup> Win Lee Edwin Wong,<sup>1,2,\*</sup> Saima Hilal,<sup>1,2,6</sup> Cheuk Ni Kan,<sup>1,2</sup>
 <sup>(b)</sup>Bibek Gyanwali,<sup>1,2,7</sup> Yih Chung Tham,<sup>4,5</sup> Leopold Schmetterer,<sup>4,8,9,10,11</sup> Dejiang Xu,<sup>12</sup>
 <sup>(a)</sup>Mong Li Lee,<sup>12</sup> Wynne Hsu,<sup>12</sup> Narayanaswamy Venketasubramanian,<sup>13</sup>
 <sup>(b)</sup>Boon Yeow Tan,<sup>14</sup> Tien Yin Wong<sup>4,5,†</sup> and Christopher P. L. H. Chen<sup>1,2,15,†</sup>

BRAIN COMMUNICATIONS 2022: Page 1 of 9 | 1



#### Figure I Flow chart with exclusions for study population.

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- 2 Memory Ageing and Cognition Centre, National University Health System, Singapore 119074, Singapore
- 3 Department of Ophthalmology and Visual Sciences, The Chinese University of Hong Kong, Hong Kong, China
- 4 Singapore Eye Research Institute, Singapore National Eye Centre, Singapore 169856, Singapore
- 5 Ophthalmology and Visual Sciences Academic Clinical Programme, Duke-NUS Medical School, Singapore 169857, Singapore
- 6 Saw Swee Hock School of Public Health, National University of Singapore and National University Health System, Singapore 117549, Singapore
- 7 Department of Biochemistry, Yong Loo Lin School of Medicine, National University of Singapore, Singapore 117600, Singapore
- 8 School of Chemical and Biomedical Engineering, Nanyang Technological University, Singapore 639798, Singapore
- 9 Department of Clinical Pharmacology, Medical University Vienna, Vienna 1090, Austria
- 10 Austria Center for Medical Physics and Biomedical Engineering, Medical University Vienna, Vienna 1090, Austria 11 Institute of Molecular and Clinical Ophthalmology, Basel, Switzerland

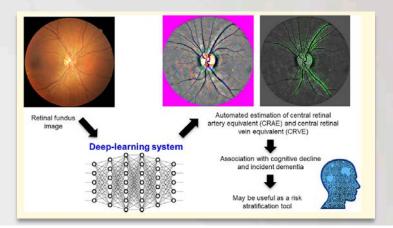


Table 2 Associations between retinal vessel calibres at baseline and risk of cognitive decline. Model 1 was unadjusted; Model 2 was adjusted for age, gender, ethnicity, and fellow calibre at baseline; Model 3 was additionally adjusted for education, cerebrovascular disease status, hypertension, hyperlipidemia, diabetes, and smoking at baseline

|  |                             |  | Model I             |         | Model 2             |         | Model 3             |         |
|--|-----------------------------|--|---------------------|---------|---------------------|---------|---------------------|---------|
|  | Number<br>of<br>subjects, n | Developed<br>cognitive<br>decline, n (%) | HR (95% CI)         | P-value | HR (95% CI)         | P-value | HR (95% CI)         | P-value |
| CRAE                                     |                             |  |                     |         |                     |         |                     |         |
| First tertile<br>(67.35–104.18)          | 164                         | 101 (61.6%)                              | 1.377 (1.028–1.846) | 0.032   | 1.496 (1.050–2.133) | 0.026   | 1.479 (1.033–2.117) | 0.043   |
| Second tertile<br>(104.18–113.85)        | 163                         | 72 (44.2%)                               | 0.877 (0.638–1.205) | 0.417   | 0.900 (0.645-1.255) | 0.533   | 0.893 (0.640-1.245) | 0.504   |
| Third tertile<br>(113.85–148.30)         | 164                         | 81 (49.4%)                               | Reference category  | NA      | Reference category  | NA      | Reference category  | NA      |
| Per SD decrease                          | 491                         | 254 (51.7%)                              | 1.198 (1.058–1.356) | 0.004   | 1.341 (1.133–1.588) | 0.001   | 1.258 (1.062–1.490) | 0.008   |
| CRVE<br>First tertile<br>(110.52–155.05) | 164                         | 89 (54.3%)                               | Reference category  | NA      | Reference category  | NA      | Reference category  | NA      |
| Second tertile<br>(155.05–166.87)        | 163                         | 84 (51.5%)                               | 0.958 (0.711–1.291) | 0.778   | 1.242 (0.895–1.725) | 0.195   | 1.190 (0.851–1.665) | 0.310   |
| Third tertile<br>(166.87_230.49)         | 164                         | 81 (50.3%)                               | 0.913 (0.676–1.234) | 0.554   | 1.364 (0.941–1.976) | 0.101   | 1.213 (0.821–1.792) | 0.333   |
| Per SD increase                          | 491                         | 254 (51.7%)                              | 0.989 (0.874–1.120) | 0.865   | 1.281 (1.079–1.522) | 0.005   | 1.204 (1.011–1.434) | 0.037   |

CRAE, central retinal artery equivalent; CRVE, central retinal vein equivalent; CI, confidence interval; HR, hazard ratio; SD, standard deviation.

Journal of Alzheimer's Disease xx (2023) x-xx DOI 10.3233/JAD-230055 IOS Press

Review

## Deep Reinforcement Learning-Based Retinal Imaging in Alzheimer's Disease: Potential and Perspectives

Herbert Y.H. Hui, An Ran Ran, Jia Jia Dai and Carol Y. Cheung\* Department of Ophthalmology and Visual Sciences, The Chinese University of Hong Kong, Hong Kong, China

Handling Associate Editor: Defu Yang

Accepted 26 April 2023 Pre-press 19 May 2023

# DRL: a learning strategy that constructs an "Optimal Policy" through trial-and-error to solve decision-making problems

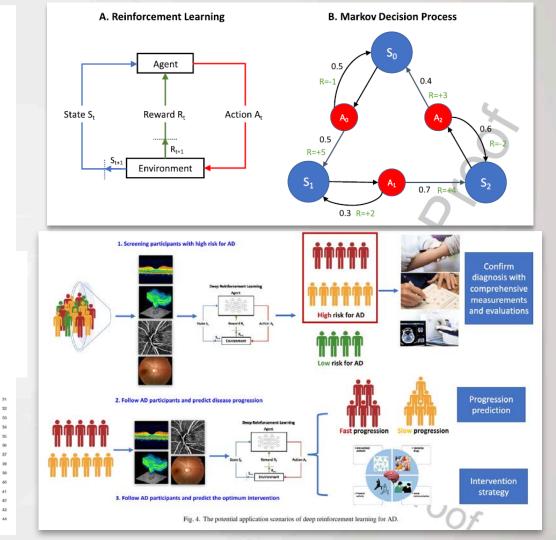
#### BACKGROUND

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Alzheimer's disease (AD), the most common cause of dementia, poses a significant clinical challenge worldwide amid today's aging population [1]. With evidence revealing the presence of pathophysiological markers before the manifestation of clinical symptoms [2, 3], the retina has been considered a "window" to study AD as an accessible extension

\*Correspondence to: Carol Y. Cheung, Department of Ophthalmology and Visual Sciences, The Chinese University of Hong Kong, 4/F Hong Kong Eye Hospital, 147K Argyle Street, Kowloon, Hong Kong SAR. Tel: +852 3943 5831; Fax: +852 2715 9490; E-mail: caroleheung@cuhk.edu.hk. of the brain in terms of embryology, anatomy, and physiology [4].

The advancement in retinal imaging modalities, such as optical coherence tomography (OCT), OCT angiography (OCT-A), and fundus photography (FP), has enabled detailed investigation into the neuronal and microvascular structures of the retina noninvasively [5–8]. Concomitantly, the development of cutting-edge artificial intelligence (AI) algorithms has the potential to facilitate retinal imaging analysis [5, 9–11], with deep learning (DL) showing promising results in detecting systemic diseases from retinal images, such as AD [10, 12, 13], cardiovascular disease [14, 15], kidney disease [16, 17], and



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#### VIEWPOINT

Claudia E. Haupt, JSD, PhD Northeastern University School of Law, Boston, Massachusetts; and Solomon Contor for

AI-Generated Medical Advice–GPT and Beyond

However, in its current form, GP omissions. It can fail at simple tasks, tic, or insidiously commit errors that scrutiny by subject matter experts. Sc when asked to provide references fc makes them up. Educators fear stu

#### The NEW ENGLAND JOURNAL of MEDICINE

SPECIAL REPORT

Jeffrey M. Drazen, M.D., Editor;

Isaac S. Kohane, M.D., Ph.D., and Tze-Yun Leong, Ph.D., Guest Editors

AI IN MEDICINE

Benefits, Limits, and Risks of GPT-4

as an AI Chatbot for Medicine

For years, experts have speculated about the future role

of artificial intelligence (AI) in health care. Some AI tools

can outperform physicians on specific tasks in radiol-

ogy, dermatology, and other fields, which raised con-

cerns that AI might render certain specialists obsolete.

Some feared Al might expose nationts and clinicians to

Research

#### JAMA Ophthalmology | Brief Report

### Performance of an Artificial Intelligence Chatbot in Ophthalmic Knowledge Assessment

Andrew Mihalache, BMSc(C); Marko M. Popovic, MD, MPH(C); Rajeev H. Muni, MD, MSc

ICE ChatGPT is an artificial intelligence (AI) chatbot that has significant plications. Training curricula using AI are being developed in medicine. erformance of chatbots in ophthalmology has not been characterized.

E To assess the performance of ChatGPT in answering practice questions certification in ophthalmology.

ETTING, AND PARTICIPANTS This cross-sectional study used a consecutive sample sed multiple-choice questions provided by the OphthoQuestions practice bank for board certification examination preparation. Of 166 available hoice questions, 125 (75%) were text-based.

ES ChatGPT answered questions from January 9 to 16, 2023, and on 17 2023

EDITORIAL

Peter Lee, Ph.D., Sebastien Bubeck, Ph.D., and Joseph Petro, M.S.

The uses of artificial intelligence (AI) in medicine have been growing in many areas, including in the analysis of medical images,<sup>1</sup> the detection of drug interactions,<sup>2</sup> the identification of highrisk patients,<sup>3</sup> and the coding of medical notes.<sup>4</sup> Several such uses of AI are the topics of the "AI in Madigina" regions article corrige that debuts in a prompt is a question or w

"prompt engineering," whi a science. Although future to be far less sensitive to used in a prompt, at presen developed and tested with duce the best results. At th

What Artificial Intelligence Chatbots Mean for Editors, Authors, and Readers of Peer-Reviewed Ophthalmic Literature Neil M. Bressler, MD

A new artificial intelligence (AI) chatbot debuted in November 2022. OpenAI's ChatGPT<sup>1</sup> lets an individual type a request and then almost immediately receive text designed to seem written by a human. The notential advantages of this

Specifically, the first criterion includes "substantial contributions to conception or design of the work or the acquisition, analysis, or interpretation of data for the work."<sup>2</sup> Asking a chathot to design the methods of an original investigation is

#### Interview

Alex Hern

### 'We've discovered the secret of immortality. The bad news is it's not for us': why the godfather of AI fears for humanity

Hinton: 'Overreacting is a lot better than under-reacting.' Photograph: Sarah Lee/The Guardian

Geoffrey Hinton recently quit Google warning of the dangers of artificial intelligence. Is AI really going to destroy us? And how long do we have to prevent it?







# OpenAI CEO calls for laws to mitigate 'risks of increasingly powerful' AI

Sam Altman says before Senate judiciary committee that he supports guardrails for technology to minimize harms



ChatGPT CEO shares concern over AI being used to interfere with elections - video

The CEO of OpenAI, the company responsible for creating artificial intelligence chatbot ChatGPT and image generator Dall-E 2, said "regulation of AI is essential" as he testified in his first appearance in front of the US Congress.

# **Different Perspectives**

**Computer Scientists:** Just give us millions of images and tell us what is/is not "VCI" ...we will give you a program in a few days

Health payers: Help us save money, reduce manpower....

Clinicians: Can we accurately identify patients VCI and refer cases that need treatment? Can we predict the risk of VCI? ...just install your "software" into our computers and "read" the fundus photos/OCT

# Take home messages

- Recent studies have demonstrated a link between retinal measures with dementia and AD.
- Al-deep learning has huge potential to automatically interpret retinal images for AD detection.
- Keep learning and being innovative to benefit our patients and improve our healthcare system

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